APPLICATION

FOR

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TITLE: OPTICAL CHARACTERIZATION OF SURFACES AND
             PLATES

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OPTICAL CHARACTERIZATION OF SURFACES AND PLATES

[0001] This application claims the benefits of U.S. Provisional Application No. 60/443,240 filed on January 27, 2003, and U.S. Provisional Application No. 60/443,805 filed on January 29,

5   2003. The entire disclosures of the above-referenced provisional patent applications are incorporated herein by reference as part of this application.

Background

10 [0002] This application relates to measurements of properties of surfaces and plates, and in particular, to the optical measurements and characterization of properties of surfaces and plates.

[0003] Surface and plate properties of panels and substrates,

15 such as the surface flatness, surface curvatures, surface slopes, plate thickness and variations, and the spatial variations of the refractive indices of plates, and other surface and plates parameters are routinely measured and monitored in various applications. Substrates may be used as

20 platforms to support various structures, such as microstructures integrated to the substrates. Integrated electronic circuits, integrated optical devices and opto-electronic circuits, micro-electro-mechanical systems, and flat panel display systems (e.g., LCD and plasma displays) are examples of such structures

integrated on substrates. Measurements of surface properties of

panels and substrates may be used to, e.g., ensure the surface

properties to be within desired ranges or monitor and analyze

surface stresses of the panels and substrates. Measurements of

5 transverse uniformity profiles of plates, such as the wedge

variations and variations in the refractive index, may be used

in evaluating and manufacturing of reticles, masks and pellicles

used in, e.g., photolithography.

10 **Summary**

[0004] This application describes exemplary implementations of

optical measurements and characterization of surfaces by using

full-field optical shearing interferometer systems, such as

coherent gradient sensing (CGS) systems. Optical transmission

15 through a wafer or plate may be processed by an optical shearing

interferometer to obtain spatial slopes on wavefront

distortions. Both optical reflection and optical transmission

of the wafer or plate may be obtained and processed by the

optical shearing interferometry to obtain information on at

20 least one reflective surface, the plate thickness, and other

parameters of the wafer or plate under measurement. As an

example of the optical shearing interferometer systems, full-

field CGS interferometry by reflection and transmission may be

used as a tool for the study of optical wavefront distortion

gradients associated with either or both of optical reflection and transmission of light obtained from a wafer or plate.

[0005] In one implementation, a system includes a sample holder to hold a sample, an optical input collimator to collimate an

5   input probe beam, and to direct the input probe beam to the sample, a first optical shearing interferometer located to receive optical transmission of the input probe beam through the sample, a second optical shearing interferometer located to receive optical reflection of the input probe beam from the

10   sample, and a processor to receive output signals from the first and the second optical shearing interferometers and operable to process the output signals to produce measurements of the sample.

[0006] In another implementation, an optical reflection off a

15   sample plate is directed into a first optical shearing interferometer to obtain a first map of wavefront slopes of the optical reflection indicative of the reflective surface of the sample plate. In addition, an optical transmission through the sample plate is directed into a second optical shearing

20   interferometer to obtain a second map of wavefront slopes of the optical transmission wavefront indicative of the variations in the optical path across the sample plate. The first and second maps are then processed to obtain information on the sample plate.

[0007] In yet another implementation, an optical probe beam with a uniform wavefront is directed to transmit through a sample plate. An optical shearing interferometer is used to receive optical transmission of the input probe beam through the sample

5 plate to produce an optical shearing interference pattern. The optical shearing interference pattern is then processed to obtain a wavefront gradient map of the optical transmission.

[0008] These and other implementations are described in greater detail in the drawings, the detailed description, and the

10 claims.

Brief Description of the Drawings

[0009] FIG. 1 illustrates optical measurement of an optically reflective surface by an optical probe beam, where the reflected probe beam is directed to gratings in a coherent gradient sensing (CGS) device or a non-CGS optical shearing interferometer.

[0010] FIG. 2 illustrates optical measurement of an optically transmissive surface by an optical probe beam.

[0011] FIG. 3 shows a coherent gradient sensing optical shearing interferometer having two spaced optical gratings to produce optical shearing.

[0012] FIG. 4 shows one example of an optical measurement system having two CGS devices in optical transmission and reflection modes, respectively.

[0013] FIG. 5 shows an example of an optical measurement system having three CGS devices.

Detailed Description

[0014] Examples of optical shearing interferometry techniques described in this application may use either or both of optical reflection at a surface and transmission through a plate of one

5   or more collimated optical probe beams with a planar wavefront to measure optical distortions in the optical wavefront caused by optical reflection or transmission. The wavefront of the reflected or transmitted optical probe beam is optically sheared or shifted by the optical shearing interferometer to measure the

10 local slope of the wavefront at a selected location and the slope map of the entire wavefront. A coherent gradient sensing (CGS) system, as one exemplary implementation of the optical shearing interferometry system, uses two optical gratings to produce the shifted wavefronts by diffraction and an imaging

15 device to capture the desired diffraction orders. The interference pattern captured in the imaging device is then processed to obtain the slope information of the wavefront.

[0015] As an example, FIG. 1 illustrates a surface under measurement that is optically reflective at a selected probe

20 wavelength. Assume the target surface under measurement features a non-uniform topology whose surface profile can be represented by the following equation

$$x_3 = f(x_1, x_2), \qquad\qquad (1)$$

with respect to an arbitrarily chosen reference plane (x_1, x_2), where x_3 is perpendicular to both x_1 and x_2 and forms a Cartesian coordinate system with x_1 and x_2. When a collimated probe beam

5   (initially planar wavefront) is reflected from this target surface, the reflected wavefront deviates from planarity due to the additional optical path traveled in the reflection process and can be characterized by the following equation:

10   $$x_3 = S^R(x_1, x_2) = 2f(x_1, x_2).\qquad\qquad (2)$$

This reflected wavefront, hence, "acquires" the spatial information on the target surface, including wavefront distortions by features present on the target surface. Optical

15   shearing interferometry can be used to process the reflected wavefront to extract the surface profile information.

[0016] Next, consider a plate under measurement that is at least partially optically transmissive to a probe beam at a selected probe wavelength. The probe beam is collimated to have a planar

20   wavefront prior to entry of the plate. FIG. 2 shows the plate is assumed to have a non-uniform thickness, $h(x_1, x_2)$, and a spatially varying refractive index, $n(x_1, x_2)$, both of which are measured with respect to a reference plane (x_1, x_2). The wavefront

of the transmitted probe is distorted by the plate and can be

characterized by the following equation:

$$S^T\left(x_1, x_2\right) = \left[n\left(x_1, x_2\right) - 1\right] h\left(x_1, x_2\right) . \qquad (3)$$

5

The above equation assumes that the plate is immersed in the air

with a refractive index of 1. If the surround medium has a

refractive index of n_{medium}, the "1" in the parentheses should be

replaced by "n_{medium}." The optical distortions on the reflected

10 wavefront include distortions of the optical path associated

with refractive index induced retardations and distortions

caused by the non-uniform thickness $h\left(x_1, x_2\right)$ of the plate. The

non-uniform thickness introduces varying amounts of material in

the path of the probe beam and thus distorts the initially

15 planar wavefront by different amounts at different transverse

locations on the plate. These two types of distortions are

independent to each other. For example, when the thickness of

the plate is uniform, the probe wavefront may still be distorted

due to the spatial variation in the refractive index and vice

20 versa.

[0017] After the distorted wavefront of a reflected probe beam

or transmitted probe beam is obtained, the distorted wavefront

may be subsequently optically processed in an optical shearing

interferometer to obtain wavefront gradient information. Based

on the wavefront gradient information, the surface topology and

the surface slope map for the reflective surface under

measurement may be extracted, and wedge and index variations

5   (thickness and index derivatives or slopes) of the plate under

measurement may also be obtained. Optical probing with both

optical reflection and transmission may be used to measure

surface properties and wedge variations of a plate. The optical

reflection and transmission may be obtained simultaneously and

10   be processed with two separate optical shearing interferometers.

[0018] One example of optical shearing interferometers is a

coherent gradient sensing (CGS) system. Exemplary CGS

implementations are described in U.S. Patent No. 6,031,611,

which is incorporated herein by reference in its entirety. The

15   CGS interferometry may be used to measure the "surface slope"

along one or more directions along the surface (e.g.,

$\partial f/\partial x_1$, $\partial f/\partial x_2$, in two orthogonal directions x1 and x2), maps of the

wedge slopes of $\partial h/\partial x_1$, $\partial h/\partial x_2$, net wavefront gradient maps of

$\partial S^T/\partial x_1$, $\partial S^T/\partial x_1$, and full-field maps of opaque or transparent

20   surfaces used in the microelectronics and optoelectronics

industries. In some applications, it may be desirable to

measure surface slopes and to subsequently integrate the surface

slopes into topology of microelectronic or opto electronic wafer

surfaces that have undergone various processing steps (e.g.,

Chemical Metal Polishing) where a resulting non-uniform surface

finish (dishing/erosion) may have an adverse impact on device

performance or effectiveness of subsequent processing steps.

5   [0019] Information on surface slopes, surface topology, wedge

variations in index of refraction and thickness variations may

be used to evaluate the quality and acceptability of

photolithograph mask assemblies and their components such as

substrates, mask blanks, and patterned masks, as well as mask

10   assembly "pellicle plates" mounted in front of mask reticles for

protection. Surface slopes and topology (height) variations may

cause unacceptable misregistratoin errors during microfeature

imaging. As the dimensions of microelectronic circuitry become

increasingly smaller, the tolerances in acceptable mask surface

15   slopes become more stringent. Hence, measurements of surface

slopes are desirable. For reticle/pellicle assemblies,

wavefront distortions associated with variations (gradients) in

pellicle plate thickness, plate bending, and plate distortions

due to mounting forces and gravity may also adversely affect the

20   imaging quality on the wafer. Net assembly distortions are also

a concern. Optical probing by transmission, based on CGS or

another shearing interferometer, may be used to measure such

effects. CGS and other optical shearing interferometry

measurements by both reflection and by transmission may be used

for assessing pellicle acceptability.

[0020] FIG. 3 shows one exemplary implementation of a CGS system

with two spaced gratings 140 and 150 to process a distorted

5   wavefront 132 that is generated by either optical reflection

from a surface or optical transmission through a plate. The two

gratings 140 and 150 in general may be any gratings, with

different grating periods and oriented with respect to each

other at any angle. In the illustrated example, the two

10   gratings 140 and 150 are identical, i.e., they are oriented with

respect to each other in the same direction and have the same

grating periods to simplify the data processing. A Cartesian

coordinate system (x1, x2, x3) is used in the following

description where the x2 axis is parallel to the grating rulings

15   of both the gratings 140 and 150.

[0021] The distorted wavefront 132 is processed through the

gratings 140 and 150 situated at a distance (Δ) apart. A

filtering lens 160 is used to produce a series of diffraction

orders on a "filtering" plane where a camera 170 is focused on

20   either the (+1) or the (-1) diffraction order.   This system is

described (for the case of reflection) in U.S. Patent No.

6,031,611.

[0022] In operation, the grating 140 (G_1) diffracts the probe

beam 132 into several diffraction waves denoted as E_0, E_1, E_{-1},

E_2, E_{-2}, etc. For illustrative purpose, only the first three

diffraction orders, i.e., zero-order wave 144 (E_0), +1-order 142

(E_1), and -1-order wave 146 (E_{-1}) are shown. Each of these wave

fronts is further diffracted by the second grating 150 (G_2) to

5   generate multiple wavefronts. For example, the +1-order 142 (E_1)

is diffracted to produce wavefronts 142a ($E_{1,1}$), 142b($E_{1,0}$),

142c($E_{1,-1}$), etc.; zero-order 144 (E_0) is diffracted to produce

wavefronts 144a($E_{0,1}$), 144b($E_{0,0}$), 144c($E_{0,-1}$), etc.; and -1-order

146 (E_{-1}) is diffracted to produce wavefronts 146a ($E_{-1,1}$),

10   146b($E_{-1,0}$), 146c($E_{-1,-1}$), etc.

[0023] Certain diffracted beams generated by the grating 150

from different diffraction orders generated by the grating 140

are parallel since the two gratings 140 and 150 are identical.

This could also occur when the ratio of the grating periods of

15   the two gratings 140, 150 is an integer. Under such conditions,

a filtering lens 160 is used to overlap various sets of parallel

diffracted beams emerged from the grating 150 with one another

at or near the filtering plane 170 to form multiple diffraction

spots. These diffraction spots have interference fringes due to

20   the interference of the overlapped beams. The interference

fringes have information indicative of the gradient of the phase

distortion in the wavefront of the probe beam 132.

[0024] For example, the zero-order diffraction beam 142b($E_{1,0}$)

originated from the beam 142 is parallel to the +1-order

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diffraction beam 144a($E_{0,1}$) originated from the beam 144. These

two beams 142b and 144a are focused to a point 174 (D_{+1}) on the

filter place 170 by the lens 160. Similarly, the diffracted

beams 142c and 144b overlap and interfere with each other to

5   form a spot D_0, and beams 144c and 146b overlap and interfere

with each other to form a spot D_{-1}, respectively.

[0025] The interference pattern of any of these spots has the

information of the gradient of the phase distortion in the

wavefront of the probe beam 132 and can be used to determine the

10   slope and curvature of the specimen surface 130. The example in

FIG. 3 shows the spot 174 (D_{+1}) is selected by the aperture 172

in the filter plane.

[0026] As the wavefront goes through the CGS system an optical

differentiation of the distorted wavefront is performed. The

15   resulting interference pattern is governed by the following

equations:

$$\frac{\partial S}{\partial x_\alpha} = \frac{kp}{\Delta}, \tag{4}$$

20   where $S = S^R$ for reflection probing and $S = S^T$ for transmission

probing, k is an integer and where α is either 1 or 2 depending

on the direction of the gratings relative to the transverse

x_1, x_2 axes. In CGS optical probing based on optical reflection,

Equations (2) and (4) result in the following relation governing

slope component measurement through CGS interferometry:

$$\frac{\partial f}{\partial x_\alpha} = \frac{kp}{2\Delta}.$$ (5)

5

Based on Equations (4) and (5), the spacing Δ between the two

gratings may be adjusted, either continuously or

discontinuously, to vary the shearing distance in order to

adjust the measurement resolution.

10   [0027] For optical probing by transmission, the wavefront slope

may be derived from Equations (3) and (5):

$$\frac{\partial S^T}{\partial x_\alpha} = \left[n(x_1, x_2) - 1 \right] \frac{\partial h}{\partial x_\alpha} + \frac{\partial n}{\partial x_\alpha} h(x_1, x_2) = \frac{kp}{\Delta}.$$ (6)

15 Equation (6) is the relation governing net wavefront distortion

gradient measurement (in transmission) through CGS

interferometry.

[0028] Hence, the CGS interferometry may be used to construct

full-field maps of both surface slope components $\partial f / \partial x_1$ and $\partial f / \partial x_2$

20 of a reflective surface through the use of Equations (2) and

(4). Numerical integration of these independent slope component

maps may be used to construct the surface topology or height

relative to a reference (to be achieved up to an arbitrary rigid

body translation and rotation).

[0029] The CGS interferometry in transmission may be used for

construction of full-field maps of transmitted optical surface

distortion gradients $\partial S^T/\partial x_1$ and $\partial S^T/\partial x_2$ through a plate that is

transmissive to light at the probe light wavelength. For the

case of a single plate element of uniform refractive index,

$n(x_1, x_2) = n = \text{const}$, Equation (6) provides:

$$\frac{\partial S^T}{\partial x_\alpha} = \frac{kp}{\Delta} = (n-1)\frac{\partial h}{\partial x_\alpha}. \tag{7}$$

As a result, the thickness or wedge variation may be expressed

as

$$\frac{\partial h}{\partial x_\alpha} = \frac{kp}{(n-1)\Delta}. \tag{8}$$

Therefore, CGS may be used as a method for measuring the "wedge

slope" components $\partial h/\partial x_1$ and $\partial h/\partial x_2$ or the thickness gradient maps

of transmissive plates. The shearing distance Δ may be either

continuously or discontinuously adjusted to change the

measurement resolution in the transmission mode. Integration of

such slope components will result in the construction of optical

surface distortions or to net wedge maps, respectively.

[0030] Multiple reflections of light may be present in a

transmissive plate as a result of partial and multiple

5   reflections and refractions of the light from the two opposing

surfaces. Such multiple reflections may complicate optical

detection of either or both of the optical reflection and

transmission by using optical shearing inteferometry. The CGS

may be advantageously used in this situation because operation

10   of a CGS inteferometer is independent of a probe wavelength as

indicated by the governing equations of CGS in Eqs. (4), (5),

and (7). For example, a probe wavelength may be selected so

that a plate under measurement is optically opaque or non-

transmissive at the selected probe wavelength. This eliminates

15   multiple reflections and refractions thus allowing for an

accurate surface slope and topology measurements by CGS probing

based on optical reflection. In addition, two different probe

beams with different probe wavelengths may be used, one being

transmissive and other being reflective, in measuring a plate by

20   using two optical shearing inteferometers to respectively

process the optical reflection and the optical transmission.

[0031] Some mounted reticle/pellicle assemblies or other optical

element assemblies may be fully or partially transmissive to

light. To measure these devices, the transmission CGS may be

used to obtain the wavefront slope and Equation (6) may be used

for evaluating the NET optical distortion gradients of the

entire assembly as a test of suitability.

[0032] FIG. 4 shows one exemplary CGS system 400 that includes a

5   first CGS device 450A to measure optical transmission of a

sample 401 and a second CGS device 450B to measure optical

reflection of the sample 401. Similar to the CGS system shown

in FIG. 3, each of the CGS devices 450A and 450B includes two

gratings, i.e., 451A(G1) and 452A(G2) or 451B(G3) and 452B(G4),

10 a spatial filtering imaging lens (453A or 453B), and an imaging

sensor such as a CCD array (454A or 454B). A sample holder 440

is provided to support and hold the sample 401 under

measurement. A precision chuck may be used as the sample holder

440. A processor 460 is provided to receive output signals from

15 the CGS devices 450A and 450B and operates to process the

signals to produce measurement results. The processor 460 may

be programmed with the processing the above-described algorithms

for both the reflection and the transmission CGS measurements.

[0033] An input optical collimator 410 is used to receive and

20 collimate input probe light. The collimated input probe light

is directed to the sample 401. A partially transmissive beam

splitter 420 is located in the optical path of the input probe

light between the input optical collimator 410 and the sample

401 to reflect a portion of the reflected probe light from the

sample 401 to the second CGS device 450B. A second optical collimator 430 may be located between the beam splitter 420 and the sample 401 to collimate light.

[0034] The system 400 may include one or more light sources may be used to generate probe light at a desired probe wavelength. For a given sample plate, the probe wavelength may be selected or tuned to be optically reflective at the sample plate to use the CGS device 450B to measure the reflective surface of the sample plate. Alternatively, the probe wavelength may be selected or tuned to be optically transmissive at the sample plate to use the CGS device 450A to measure the variations of the optical thickness of the plate. In addition, two different probe wavelengths may be used at the same time with one being reflected by the sample plate and the other being transmissive to the sample plate to measure both the front surface and the variations in the overall optical path of the sample plate. As illustrated, the system 400 in this example has two light sources 402 and 403 operating at different probe wavelengths. A wavelength-selective beam splitter 404, e.g., a dichotic beam splitter, may be used to combine and direct probe beams at different probe wavelengths to the input collimator 410.

[0035] The system 400 may be operated to provide near instantaneous, full-field data collection across the entire specimen surface. The CGS devices in both optical reflection

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and transmission modes allow for full-field measurements of surface flatness, surface wedge, surface slope, and surface topology of reticles and pellicles using CGS interferometry. This system may also measure the impact of reticles, pellicles,

5   and reticle/pellicle assemblies on optical wavefronts passing through them by evaluating wavefront flatness, wavefront slope, and wavefront topology. In addition, measurements may also be obtained for the tilt, flatness, wedge, and Total System Optical Distortion (TSOD) of the reticle, pellicle, and reticle /

10   pellicle assembly.

[0036] The system 400 may be configured to include various beneficial features. For example, the sample holder 440 and the optical systems may be designed to have a large circular field of view to accommodate large square substrates and reticles,

15   e.g., a 9-inch circular view for up to 6″ square reticles. The combination of CGS interferometry in both transmission and reflection and use of at least two different probe wavelengths provide powerful and versatile probing capabilities for various measurements. Depending on the measurement requirements, the

20   system may also incorporate multiple angles of incidence and multiple shearing distances.

[0037] In implementations of the system 400 in FIG. 4, the system may use two separate coherent light sources controlled by a mechanical shutter in front of each light source. The probe

wavefront may be directed to pass through an auto-zoom optical

system where the beam is polarized, collimated, and expanded

upon incidence on the sample. The sample holder 440 may be an

electrostatic chuck with multi-degree adjustments capable of

5   precisely positioning the specimen and, possibly, varying the

angle of incidence.

[0038] In operation, the system 400 may utilize various

mechanisms to optically distinguish between front and backside

surfaces, including but not limited to varying or tuning the

10   probe wavelength, varying or tuning the shearing distance (i.e.,

spacing of the gratings), and varying or tuning the angle of

incidence of the probe wavefront. The system may be used to

measure patterned and discontinuous wavefronts. When the probe

wavelength is used to distinguish the front and backside

15   surfaces, a tunable probe light source or multiple probe light

sources at different probe wavelengths may be used. A special

probe wavelength may be used to measure the front surface only

by optical reflection when the probe light does not transmits

through the front surface, e.g., when the material for the wafer

20   or substrate is opaque at the selected wavelength. The probe

wavelength may be changed to a second probe wavelength that

transmits through the wafer or substrate to produce an optical

transmission. In addition, the polarization of the probe beam

may also be used to distinguish the front and backside surfaces

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of a wafer or substrate. At an interface from between two different dielectric materials, the p-polarized light is not reflected and is entirely refracted when the incident angle is at or greater than the Brewster angle of the interferface.

5 Hence, the incident polarization may be controlled to facilitate separation of measurements of the front and the backside surfaces. As an example, a probe beam in the p-polarization and a second probe beam in the s-polarization may be simultaneously directed to the sample as two separate probe beams.

10 [0039] In the system 400 in FIG. 4, a single reflection from the sample plate 401 may be obtained and processed by the CGS device 450B under proper conditions. This single reflection measurement can be used to measure the reflecting surface in the front of the plate 401. Alternatively, the opposite surface of 15 the sample 401 may also be measured by optical reflection and the CGS device 450B when the sample 401 may be flipped on the chuck 440.

[0040] FIG. 5 illustrates an exemplary system having 3 CGS devices to respectively measure two opposing surfaces of a 20 sample plate by two CGS devices in optical reflection modes and a third CGS device in an optical transmission mode. The probe beam for the optical transmission mode may have a wavelength different from the probe beams used in the optical reflection modes. Each probe beam for optical reflection may have a

wavelength at which the light does not transmits into the plate

so multiple reflections and refractions may be eliminated.

Hence, this system may be used to simultaneously obtain two

surface measurements by two separate reflections and the

5   transmission measurement for variations in the plate thickness

slopes or the slopes of the refractive index.

[0041] The CGS measures wavefront slope directly and thus offers

significant benefits over conventional topological or net

wavefront shape interferometric approaches. For example, the

10 CGS can eliminate the need for numerical differentiation of the

wavefront measurement, thereby improving measurement quality and

integrity by directly monitoring unwanted variations (gradients)

of optical distortion. As another example, CGS can measure

discontinuous wavefronts, e.g., those that have already passed

15 through a reticle, or a pellicle, or a combination of a reticle

and a pellicle. In addition, the spacing between two spaced

gratings in a CGS interferometer may be adjusted, either

continuously or discontinuously, to provide a variable

sensitivity in CGS measurements.

20 [0042] The above CGS interferometry devices are specific

examples of full-field optical shearing interferometers. Other

shearing interferometers may also be implemented for the CGS

devices 450A and 450B in the system 400 in FIG. 4. In general,

a shearing interferometer optically processes a distorted

wavefront to cause wavefront interference. This interference is

caused by optically shearing or shifting the wavefront and is

used to measure the local slope of a wavefront and surface

topology deviations. Such a shearing interferometer directs the

5   distorted wavefront through a device or component of the system

designed to optically shear or shift the wavefront enabling the

measurement of wavefront slope. In addition to CGS, other

examples of shearing interferometers and shearing devices or

components include a radial shear interferometers, wedge plate

10   in a Bi-Lateral Shearing Interferometer (US Patent 5,710, 631),

and others.

[0043] The use of optical shearing interferometry present

certain advantages in optically measuring surfaces including

surfaces patterned with various microstructures such as

15   patterned wafers and patterned mask substrates used (in-delete)

to support, e.g., integrated circuits, integrated optical

devices, integrated opto-electronic devices, and MEMs devices.

In addition, an optical shearing interferometer may be used in

the in-situ monitoring of the surface properties such as

20   curvatures and related stresses during fabrication of devices at

the wafer level and the measurements may be used to control in

real time, the fabrication conditions or parameters. As an

example, measurement and operation of an optical shearing

interferometer generally is not significantly affected by rigid

body translations and rotations due to the self-referencing

nature of the optical shearing interferometry. Hence, a wafer

or device under measurement may be measured by directing a probe

beam substantially normal to the surface or at low incident

5   angles without affecting the measurements. By shifting or

shearing the wavefront, the optical shearing interferometer

measures the deformation of one point of the wavefront to

another separated by the shearing distance, i.e., the distance

between the two interfering replicas of the same wavefront. In

10   this sense, the optical shearing interferometer is self

referencing and thus increases its insensitivity or immunity to

vibrations of the wafer or device under measurement. This

resistance to vibrations may be particularly advantageous when

the measurement is performed in a production environment or in

15   situ, during a particular process (e.g. deposition within a

chamber), where vibration isolation is a substantial challenge.

[0044] A surface with device patterning poses several challenges

for conventional (non-shearing) interferometers. A conventional

interferometer generates wavefront interference of topology or

20   topography based on interference between a wavefront reflected

from a sample and a wavefront reflected from a known reference.

Conventional interferometers used to measure surfaces with

device patterning are frequently ineffective as the relatively

non-uniform or diffuse wavefront reflected off the patterned

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surface does not interfere coherently with the wavefront

reflected off the reference mirror, preventing the unwrapping

and interpretation of the interferometric image.

[0045] In applying shearing interferometry for measuring

patterned wafers, the patterned wafers, e.g., semiconductor and

optoelectronic wafers with diameters of 200 mm, 300 mm, etc.,

may be placed in a shearing interferometer in a configuration

that allows a collimated probe beam to be reflected off the

wafer surface. Using a shearing interferometer on a patterned

wafer results in coherent interference because the two

interfering wavefronts are substantially similar in shape after

being sheared by a small distance. Although each wavefront

reflected off a patterned surface may be inherently noisy and

diffuse, there is sufficient coherence between the wavefronts

for meaningful fringe patterns to form and be interpreted when

recombined in this fashion.

[0046] The method for using shearing interferometers to measure

patterned wafers may be further improved with the use of phase

shifting. Phase shifting may be implemented to progressively

adjust the phase separation between interfering wavefronts which

cycles or manipulates fringe position on the specimen's surface.

In one implementation, a shearing interferometer may be

configured to obtain multiple phased images of a patterned

wafer's surface, for example at 0, 90, 180, 270 and 360 degrees

in phase. The phase shifting method allows for wavefront slope

to be measured by calculating the "relative phase" modulation at

each pixel on a detector array. The method also allows for

consistent interpretation of wavefront and specimen slope on a

5   surface that exhibits changing reflectivity, like those found on

patterned wafers. On a patterned wafer surface each pixel

location on the specimen will reflect light with varying degrees

of intensity, complicating the interpretation of any single

sheared interferogram. Employing phase shifting simultaneously

10 increases the accuracy of the slope resolution and allows

accurate interpretation of interferograms on Patterned Surfaces

with varying reflectivity by measuring the relative phase of

each pixel rather than fringe separation or variation in the

fringe intensity.

15 [0047] Having collected multiple phase shifted interferograms of

the patterned wafer surface, an unwrapping algorithm may be

subsequently used for the accurate interpretation of surface

slopes. Suitable unwrapping algorithms include, but are not

limited to, Minimum Discontinuity (MDF) and Preconditioned

20 Conjugate Gradient (PCG).

[0048] Once the interferograms have been unwrapped the

interpretation of raw slope data and the derivation of curvature

is further enhanced by statistically fitting a surface

polynomial to the raw slope data. Statistical surface fits,

including Zernicke polynomials, may be applied to raw slope data derived from Patterned Wafers for the purpose of deriving topology and curvature data.

[0049] In the CGS system shown in FIG. 3, the phase shifting may

5   be achieved by adjusting the relative position of the two gratings 140 and 150 in the plane defined by x1 and x2 that is perpendicular to the x3 direction while the separation between the gratings along the x3 direction is fixed. A positioning mechanism, such as precise translation stage or a positioning

10   transducer may be used to implement this adjustment of the relative position between the gratings for phase shifting.

[0050] Another feature of the shearing interferometry is that the wavefront is optically differentiated once and the optical differentiation is recorded in the shearing interference

15   pattern. Hence, only a single derivative operation on the data from the shearing interference pattern is sufficient to calculate curvatures from slopes of the wavefront. Also, because the shearing interferometry method provides full-field interferometric data it can utilize many more data points

20   compared to other methods such the method of using a conventional capacitive probe to measure a few points of surface topology. This higher data density provides more accurate measurements and better resistance to noise than other methods which feature much less density of measured data. In addition,

although various laser beam scanning tools may be used to measure wafer bow or surface curvature, these methods typically measure radial curvature only. Shearing interferometry may easily measure slopes in two orthogonal directions allowing

5 elucidation of the full curvature tensor and stress state of the wafer or fabricated structures on the wafer.

[0051] Only a few implementations are described. Other variations and enhancements may be possible.